

01-28-05  
16328 JFW

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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

JAN 25 2005

PATENT & TRADEMARK OFFICE

Total Number of Pages in This Submission

Application Number

09/937,495

Filing Date

February 28, 2002

First Named Inventor

KUSUNOKI, CHIHIRO

Group Art Unit

1632

Examiner Name

LIETO, LOUIS D.

Attorney Docket Number

SHIM-013

**ENCLOSURES (check all that apply)**

- |   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Assignment Papers<br>(for an Application)<br><input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  |
| <input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition                    | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information  |
| <input checked="" type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Petition to Convert to a Provisional Application                                 | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Express Abandonment Request  | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address                | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Substitute Specification (Mark-Up); Substitute Specification (clean version); Certification Regarding Sequence Listing; paper copy of Sequence Listing; postcard |
| <input type="checkbox"/> Information Disclosure Statement   | <input type="checkbox"/> Terminal Disclaimer  |   |
| <input type="checkbox"/> Certified Copy of Priority Documents   | <input type="checkbox"/> Request for Refund   |   |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  | <input checked="" type="checkbox"/> CD, Number of CD(s) <u>1</u>  |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53  | <input type="checkbox"/> Remarks  |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Signing Attorney/Agent  
(Reg. No.)

KARL BOZICEVIC, 28,807  
BOZICEVIC, FIELD & FRANCIS, LLP

Signature

Date

January 25, 2005

**EXPRESS MAIL LABEL NO. EV 519 869 375 US**

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 450.00)

## Complete if Known

Application Number	09/937,495
Filing Date	February 28, 2002
First Named Inventor	KUSUNOKI, CHIHIRO
Examiner Name	LIETO, LOUIS D.
Art Unit	1632
Attorney Docket No.	SHIM-013

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: **50-0815** Deposit Account Name: **Bozicevic, Field and Francis LLP**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

- Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee
- Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments under 37 CFR 1.16 and 1.17

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## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES	
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity
Utility	300	150	500	250	200	100
Design	200	100	100	50	130	65
Plant	200	100	300	150	160	80
Reissue	300	150	500	250	600	300
Provisional	200	100	0	0	0	0

## 2. EXCESS CLAIM FEES

Fee Description	Small Entity
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	Fee (\$)

Fee (\$)

Fee (\$)

50 25

Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	Fee (\$)
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200 100

Multiple dependent claims	Fee (\$)
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360 180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims
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9	- 20 or HP = 0	x	=	Fee (\$)	Fee Paid (\$)
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HP = highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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2	- 3 or HP = 0	x	=	Fee (\$)	Fee Paid (\$)
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HP = highest number of independent claims paid for, if greater than 3

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity)

for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

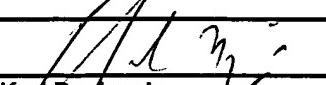
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
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- 100 =	/ 50 =	(round up to a whole number) x	=	Fee Paid (\$)
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## 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Petition for Extension of Time Fee (2 months) **450.00**

SUBMITTED BY		Registration No. (Attorney/Agent)	Telephone
Signature		28,807	(650) 327-3400
Name (Print/Type)	Kay Bozicevic		Date 01/25/2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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